Themed Section: The Pharmacology of Nutraceuticals

#### **REVIEW ARTICLE**



# Trends in use, pharmacology, and clinical applications of emerging herbal nutraceuticals

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Elizabeth M. Williamson, School of Pharmacy, University of Reading, Whiteknights, Reading, UK Email: e.m.williamson@reading.ac.uk The nutraceuticals market is vast, encompassing many different products with inconsistent levels of evidence available to support their use. This overview represents a Western perspective of the nutraceuticals market, with a brief comparison with that in China, as an illustration of how individual health supplements increase and decrease in popularity in regional terms. Recent changes in sales patterns, mainly taken from the US market, are summarized and a selection of five newer products, which have not been subject to extensive recent review are profiled: astaxanthin, a carotenoid found in red algae, seafood, salmon and trout, as an antioxidant; cannabidiol, a non-euphoric marijuana ingredient used as mood enhancer and for painful/inflammatory conditions; modified extracts of ginseng used in new indications including dementia and space travel; monk fruit, a non-sugar high intensity sweetener and nigella seed, a popular food ingredient and Asian medicine, which has experienced an extraordinary rise in sales recently.

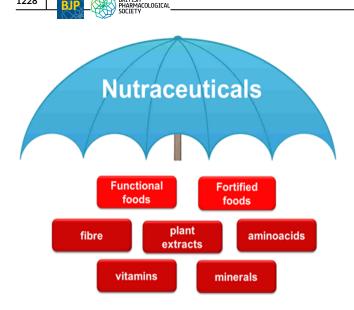
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### 1 | INTRODUCTION

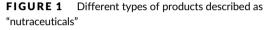
In Western countries, demand for nutraceuticals continues to grow, despite mounting criticism of the general lack of clinical evidence for many products, false claims of efficacy, concerns about quality, and issues surrounding self-medication in cases of serious illness (Colalto, 2018; Grollman & Marcus, 2016; Izzo, 2018; Minuz, Velo, Violi, & Ferro, 2017).

There is controversy about the term "nutraceutical"—these products have been described as "neither nutritious nor pharmaceutical" and there is wholesale uncertainty about what they are (Aronson, 2017). The terms "nutraceutical" and "functional food" are often used interchangeably and have no legal definition in most countries. These products are intended to have health benefits in addition to their basic nutritional value and usually contain ingredients which are referred to as generally recognized as safe (GRAS) in the United States and elsewhere and as gualified presumption of safety (QPS) in the European Union (EU). They may be presented as a pharmaceutical dosage form (such as a capsule or tablet) or a food form as part of a recipe. The regulation of these products varies widely according to region and tradition, and many are covered by general legislation for herbal products using different terminology: For example, "botanical drug" is used in the United States, and "phytomedicine" in the EU. In Canada, they are classified as "Natural and Non-prescription Health Products" and in Australia, they are covered by the Therapeutic Goods Act. In China, many terms are used, such as "Chinese materia medica" and "functional food," furthermore, these products may contain animal or mineral derivatives. In India, regulation of traditional supplements is covered by the Ministry of AYUSH and in Japan, regulatory categories used include "Kampo products," "non-Kampo crude drug products" and "foods with function claims." The term nutraceutical is a wide umbrella where different naturally occurring products, such as functional foods, fortified foods and dietary supplements (e.g. plant extracts, vitamins, amino acids, minerals, fibre and prebiotics), have found their place, as shown in Figure 1.

**Abbreviations:** AX, astaxanthin; CBD, cannabidiol; DS, dammarane sapogenins; PPT, 20(*S*)protopanaxatriol; TCM, traditional Chinese medicine; THC,  $\Delta^9$ -tetrahydrocannabinol; TQ, thymoquinone.



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For the purposes of this review, we use a working description of dietary health supplements which are usually derived from, and/or frequently added to, food items and are not primarily herbal medicines.

Nutraceutical use is widespread but more prevalent in certain patient groups—the elderly, cancer sufferers, athletes and those trying to lose weight (Alsanad, Howard, & Williamson, 2016; Agbabiaka, Wider, Watson, & Goodman, 2017; Gurley et al., 2018). Many are taking conventional medicines at the same time and the possibility of drug interactions must be considered (Izzo, Hoon-Kim, Radhakrishnan, & Williamson, 2016; Awortwe, 2017). In the United Kingdom, Alsanad et al. (2016) found a high use (34%) of health supplements in cancer patients. The most popular products taken showed a low potential for drug interaction and few patients reported any adverse events. Agbabiaka, Spencer, Khanom, and Goodman (2018) found a remarkably similar incidence (33.6%) in the elderly but identified some concerns about potential interactions. This is comparable with other Western countries.

Many types of traditional medicine consider food and herbal ingredients as an integral part of treatment, for example, in traditional Chinese medicine (TCM), Ayurveda, Unani and many other systems. These markets cover a wide range of nutraceutical products and some have entered the mainstream global market, for example, ginseng, turmeric, moringa, nigella and fenugreek, as shown in Tables 1 and 2.

## 2 | THE CURRENT MARKET AND RECENT TRENDS

Sales and/or usage figures are not available for many regions, and those that are inherently unreliable due to a lack of an adequate definition and differences in the classifications of nutraceuticals, functional foods and dietary supplements in different regions and for different markets. There is a general lack of regulation of the global market overall and many outlets through which consumers can buy these products. Andrew and Izzo (2017) identify polyphenols, carotenoids, phytosterols,

TABLE 1 Sales of herbal nutraceuticals in 2017 by U.S. mainstream multi-outlet channels (adapted from Smith et al., 2018)

Rank (No.)	Common and Latin binomial names	Total US sales (\$)	% Change 2016–2017	Common uses (not exhaustive)
1 (3)	Cranberry, Vaccinium macrocarpon	68,121,373	-7.9	Urinary tract infection
2 (5)	Turmeric, Curcuma longa	32,465,933	46.7	General health
3 (7)	Garcinia, Garcinia cambogia	32,298,038	-17.2	Weight loss
4 (8)	Green tea, Camelia sinensis	30,151,628	-30.4	General health
5 (9)	Ginger, Zingiber officinalis	29,532,702	5.2	Gastrointestinal, inflammation
6 (10)	Fenugreek, Trigonella foenum-graecum	28,823,510	35.5	Hypercholesterolaemia
7 (11)	Flaxseed, seed oil, Linum usitatissimum	28,361,026	-11.1	General health, menopause
8 (12)	Aloe, Aloe vera	21,139,780	6.3	General health, skin
9 (18)	Garlic, Allium sativum	15,918,006	-1.0	Cardiovascular conditions
10 (24)	Coconut oil, Cocos nucifera	9,609,631	-34.9	General health, skin
11 (25)	Ginseng, Panax ginseng	9,450,098	-2.9	General health, fatigue, debility
12 (27)	Red yeast rice, Oryza sativa/Monascus purpureus	9,419,507	-4.7	Hypercholesterolaemia
13 (29)	Guarana, Paullinia cupana	9,099,900	-13.8	Stimulant, in "energy" foods
14 (30)	Plant sterols	8,890,234	-27.8	Hypercholesterolaemia
15 (31)	Açai berry, Euterpe oleracea	8,523,191	-19.6	General health
16 (32)	Green coffee, Coffea arabica	8,358,636	-38.2	General health
17 (33)	Wheat/barley grass, Triticum aestivum/Hordeum vulgare	8,337,413	44.2	General health
18 (34)	Biflavonoid complex	8,264,590	-17.9	General health
19 (36)	Maca, Lepidium meyenii	6,250,846	0.0	General health
20 (39)	Chia, Salvia hispanica	3,857,463	-26.6	General health

#### TABLE 2 Sales of herbal nutraceuticals in 2017 by the U.S. natural channel

Rank	Common and Latin binomial names	Total US sales (\$)	% change 2016–2017	Common uses
1	Cannabidiol (CBD), from Cannabis sativa	7,583,438	303.0	General "wellness," mood support, inflammation, pain
2	Mushrooms	5,611,642	29	Stimulation of the immune system, cancer support
3	Chlorophyll, Chlorella vulgaris	5,444,533	-0.2	General health ("antioxidant")
4	Nigella seed, Nigella sativa	4,675,515	202.5	Many, including gastrointestinal, skin disease, asthma
5	Cherry fruit, Prunus spp.	3,626,819	8.4	General health
6	Stevia, Stevia rebaudiana	3,470,576	-0.3	Sweetener, sugar substitute
7	Kelp, Laminaria digitata	2,772,633	1.4	Weight loss, source of iodine
8	Moringa, Moringa oleifera	2,738,118	32.9	Multiple, depending on plant part used

Note: Items NOT included in mainstream sales figures and Table 1.

amino acids and peptides as important classes of nutraceuticals, explaining their chemistry and assessing the evidence for their efficacy and safety. Products containing polyphenols have especially very high sales, as shown in Table 1, and evidence for efficacy, particularly in cardiovascular conditions, is mounting (Durazzo et al., 2019). Carotenoid and curcuminoid-containing nutraceuticals (astaxanthin [AX], turmeric) (Brendler and Williamson 2019; Pagano et al., 2018) are also well-represented in the top selling product, and herbs from TCM and Ayurveda are entering the mainstream global market.

Sales figures for the United States are the most detailed regarding the botanical source of each supplement and will be used here as examples of how trends change. Patterns of herbal nutraceutical use in Western countries are fairly similar to those in the United States, as shown in Tables 1 and 2, but different to those in China, India and other regions. In the United States, in 2017, retail sales of herbal dietary supplements surpassed \$8 billion (Smith, Kawa, et al., 2018), whereas in China, by the end of 2014, the overall sales value of Chinese *materia medica* (CMM) exceeded \$US120bn (Dang, Wang, Wang, Yan, & Liu, 2016). In China, the top-selling herbal health products (nutraceutical or functional food products in terms of raw materials and extracts in 2014 were goji (wolfberry, *Lycium chinense*) linzhi (reishi) mushroom (*Ganoderma lucidum*), Asian/Chinese/Korean ginseng (*Panax ginseng*) and, rather unexpectedly, American ginseng (*Panax quinquefolium*) (Zhang, Wu, et al., 2017).

Table 1 is extracted from Smith et al. (2018, table 4), the original of which covers the whole "herbal dietary supplements" market sales by U.S. mainstream multi-outlet channels. We have removed "herbal medicines"—those which are not suitable for general supplement use and are not regular items of diet—although they are among the top-selling U.S. products. For example, horehound, *Marrubium vulgare*, is the top-selling "herbal dietary supplement" and echinacea (various species), ivy leaf (*Hedera helix*), black cohosh (*Actaea racemosa*), yohimbe (*Pausinystalia johimbe*) and saw palmetto (*Serenoa repens*) are the second, fourth, sixth, 13th and 14th U.S. top-selling herbal dietary supplements (Smith et al., 2018). Two provisos are worthy of note: firstly, medicinal herbs have been included, likely arbitrarily, in the category "dietary supplements" by the U.S. Dietary Supplement Health and Education Act (Marcus, 2016) and secondly, there is a great deal of overlap between "medicinal" and "food" plants.

The sales ranking assigned in Table 1 is as a nutraceutical and in parentheses is the ranking as a "herbal supplement" by Smith et al. (2018). These statistics have major limitations but can provide a snapshot of how quickly trends vary as products come in and out of favour. Sales figures in bold are supplements which have shown a steep increase in sales (>25%).

Table 2 is also extracted from Smith et al. (2018; table 5) and shows sales figures of the 40 top-selling "herbal dietary supplements" by the U.S. Natural Channel. This is smaller and more specialized group of outlets. In Table 2, we summarize those nutraceuticals which do not also appear in sales from mainstream outlets. As with Table 1, we have omitted herbal medicines such as ashwagandha and kava. Some of these newer or more specialized products have shown a huge increase in sales: in the case of cannabidiol (CBD) >300% and for nigella seed >200%. None have shown a significant decrease in sales (<1%).

Table 3 shows a selection of other important herbal nutraceuticals which have significant reported sales, much higher than those in the analysis by Smith et al. (2018) and which are predicted to show an increase in sales. Their omission may be due to differences in definitions and the markets surveyed, as Tables 1 and 2 describe the U.S. market only. The higher estimates in Table 3 are likely to be because those figures are for global sales. Some products, such as

**TABLE 3**Refined, newer products, with significant global sales,<br/>not included above, 2016-2018

Common and Latin binomial names	Total sales (\$) for year	Common and new uses	
Astaxanthin, from Haematococcus pluvialis and other marine sources	512,800,000 (2016)	Eye health: macular degeneration	
Monk fruit, Luo Han Guo, Siraitia grosvenorii	379,400,000 (2018)	Sweetener, sugar substitute, diabetic foods	
β-glucans extracted from mushrooms	410,600,000 (2016).	Immune support, hypercholesterolaemia	
Modified extracts of <i>Panax ginseng</i> , e.g. dammarane saponins	N/A. Global market huge.	Cognitive impairment/ space travel; radiotherapy	

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 $\beta$ -glucans, are used in a variety of ways, of which pharmaceuticals are only a part (Grandview research, 2019) and it is difficult to assess their market value.

## 3 | MODERN APPLICATIONS AND RESEARCH

Food as medicine is a long-held tenet of traditional medicine. Newer applications for existing medicines are being investigated, such as for their ability to treat memory impairment in Alzheimer's disease and for depression, where an increasing global population of elderly people makes these conditions a significant social and economic burden.

Modern medical treatments such as radiotherapy and chemotherapy produce many undesirable side effects and the role of herbal supplements in alleviating these is the subject of a great deal of research. Future applications even include attenuating the negative physiological and psychological effects experienced by astronauts during space travel (Dang, Sun, et al., 2014), where research has shown that some Chinese herbs may be useful in counteracting stresses induced in a simulated space environment in animal models, acting through multiple pathways on multiple targets.

#### 4 | NEW PRODUCT DEVELOPMENT

A wealth of evidence shows that different phytochemicals in a single herbal extract can produce opposing effects, and consequently, the composition of an extract is crucial. This suggests that if extracts can be manipulated, by chemical modification, selective removal or enhancement of a constituent, the same plant may yield medicines tailored for different indications. For these products, quality assurance is critical.

Five products, associated with rapidly increasing sales and/or research efforts, were selected for a more detailed look at the evidence. We have not included those with no clinical evidence based on well-defined extracts (wheatgrass/barley grass), nor where the food or medical usage is documented but depends very much on the part of the plant (Moringa; see Anwar, Latif, Ashraf, & Gilani, 2007). The market for mushrooms and  $\beta$ -glucans is huge but sources of products very wide (Grandview research, 2019), and turmeric has been so widely reviewed recently that there is little more to add. For the following nutraceuticals, a brief overview of (speculative) reasons for

their increased popularity, and current evidence for their use provided, with an emphasis on more recent research. For AX and ginseng-targeted extracts, where specific chemical structural changes are integral to the discussion of the pharmacology, the relevant formula is shown for convenience.

AX: a newer product with good safety data and some clinical evidence in support.

CBD/cannabis oils and edibles: a worrying—and rapidly growing— "wellness" trend.

Ginseng-targeted extracts: a TCM/global herb with new indications and in new formulations.

Monk fruit: a sugar substitute, a new food use for a TCM herb, with considerable safety data.

Nigella seed: food item and traditional Asian medicine, and an increasingly popular nutraceutical.

#### 5 | ASTAXANTHIN

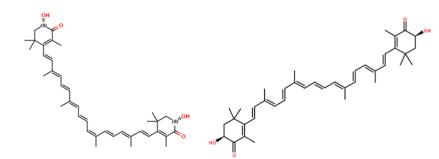
AX is a xanthophyll carotenoid found naturally in micro-algae, mainly *Haematococcus pluvialis*, and the aquatic animals that feed on them, the yeast *Pfaffia rhodozyma* and the bacterium *Paracoccus carotinifaciens*. It is responsible for the pink or red colour of seafood such as salmon, lobster, crab, fish eggs, trout and shrimp, and as such, it forms part of their normal diet. It is also added to the feed of farmed fish to provide the characteristic red colour (Ambati, Phang, Ravi, & Aswathanarayana, 2014).

#### 5.1 | Main active constituents

Natural AX is a mixture of *cis*- and *trans*-isomers of 3,3'-dihydroxy- $\beta,\beta$ -carotene-4,4'-dione. Synthetically produced AX consists only of trans isomers (Figure 2).

#### 5.2 | Mechanisms of action

The underlying mechanisms for the effects of AX include its high antioxidant capacity, its role as a modulator of the **peroxisome proliferator-activated receptors** (PPAR; Choi, 2019) and its complex effects on signalling pathways associated with inflammation and apoptosis (see review by Fakhri et al., 2018). AX enhances the effect of



(a) 13-cis astaxanthin

(b) all *trans*-astaxanthin isomers

**FIGURE 2** Structure of *cis*- and *trans*-isomers of astaxanthin

PPAR $\alpha$  and suppresses that of PPAR $\beta/\delta$  and PPAR $\gamma$ . However, it has opposing effects on some PPARs, depending on the cell context. Regulation of PPAR $\alpha$  and PPAR $\gamma$  activity by AX prevented hepatic injury by maintaining lipid homoeostasis, the cardio-, neuro- and retino-protective effects may be related to PPAR modulation (Choi, 2019).

AX blocks the NF- $\kappa$ B-dependent signalling pathway and prevents expression of inflammatory mediators such as interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6 and TNF- $\alpha$ . It can exhibit either anti-apoptotic or pro-apoptotic effects by modifying apoptotic proteins. AX enhanced BAD (Bcl-2 antagonist of cell death) phosphorylation and down-regulated the activation of cytochrome c and caspases 3 and 9 through the regulation of MAPK/p38 (p38 MAPK). It also activates the PI3K/AKT survival pathway, leading to the amelioration of mitochondrial-related apoptosis (Fakhri, Abbaszadeh, Dargahi, & Jorjani, 2018). These multiple effects help to explain the wide range of health benefits claimed for AX.

#### 6 | CLINICAL EFFICACY

AX does not have a history of traditional medical use but is becoming very popular as a concentrated extract for various health conditions, for which clinical trials have provided supporting evidence (subject to the usual reservations about quality and independence of many nutraceutical studies, Andrew & Izzo, 2017). These indications include prevention and treatment of atherosclerosis (Kishimoto, Yoshida, & Kondo, 2016), for cancer chemoprevention, maintenance and treatment of eye and vision disorders such as macular degeneration (Piermarocchi et al., 2012), for many dermatological conditions (Davinelli, Nielsen, & Scapagnini, 2018) and as a sports supplement to enhance performance and recovery (Brown, Gough, Deb, Sparks, & McNaughton, 2018). Some regulatory authorities allow limited health claims for these indications.

Systematic reviews and meta-analyses for its possible lipid-lowering properties and antioxidant effects in humans have been recently published. The authors of a systematic review of randomized clinical trials aiming at evaluating the efficacy of AX supplementation on plasma lipid and glucose concentrations retrieved seven studies for a total of 280 participants. A meta-analysis of data did not suggest a significant effect of AX on plasma lipid profiles, although a slight glucose-lowering effect was observed (Ursoniu, Sahebkar, Serban, & Banach, 2015). A further systematic review assessed the possible antioxidant effects in humans. A meta-analysis of nine randomized controlled trials revealed a borderline significant antioxidant effect of AX (Wu, Xu, Chen, & Zhang, 2019).

#### 6.1 | Safety of AX

The effects of natural AX in humans have been investigated in at least 84 human studies, of which 33 used doses of 4 mg or above, and no safety concerns were identified with natural AX supplementation of at least  $12 \text{ mg} \cdot \text{day}^{-1}$ . Animal studies have also shown no changes in

liver or other pathologies in rats treated with AX-rich extracts of *Haematococcus pluvialis*, *Phaffia rhodozyma* or *Paracoccus car-otinifaciens* at any dose (Brendler & Williamson, 2019; Edwards et al., 2018).

However, the evidence for synthetic AX is more complex, although studies for non-genotoxic and genotoxic effects in mice found no tumorigenic effects, even at high doses  $(\leq 1,400 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{bw}^{-1} \cdot \text{day})$ , two rat carcinogenicity studies showed increased hepatocellular vacuolation, hypertrophy and incidence of multinuclear hepatocytes in female rats at doses of 200 and 1,000 mg·kg<sup>-1</sup>·bw<sup>-1</sup>·day. An increase in hepatocellular adenoma, a non-malignant tumour, occurred in female rats only, at very high doses (Buser, Schierle, Schüep, et al., 2003). Other studies have found no association between liver or any other organ injury and synthetic AX intake (e.g., Buesen et al., 2015; Vega, Edwards, & Belstein, 2015). Edwards et al. (2016) conclude that the effects of AX appear to be "species specific" and of "doubtful human relevance."

Synthetically produced AX consists only of the *trans* isomer and has been reported to contain trace amounts of residual solvents and chemical reagents (Edwards et al. 2016). It should not be considered interchangeable for human use until safety parameters are established and clinical trials conducted.

#### 7 | CANNABIDIOL

CBD is the most well-known of the non-euphoric phytocannabinoids contained in the marijuana or hemp plant, Cannabis sativa. It was first isolated in 1940 by Adams and co-workers, but its structure and stereochemistry were determined in 1963 by Mechoulam and Shvo (Crippa, Guimarães, Campos, & Zuardi, 2018; Izzo, Borrelli, Capasso, di Marzo, & Mechoulam, 2009). In contrast to  $\Delta^9$ -tetrahydrocannabinol (THC), which has euphoric properties due to activation of brain cannabinoid CB1 receptors, CBD has very low affinity for both CB1 and CB2 receptors, has no known abuse potential and exerts multiple pharmacological actions (e. g. analgesic, anti-inflammatory and behavioural effects) via a number of mechanisms. These include modulation of the endocannabinoid system and transient receptor potential (TRP) channels, activation of PPARy and 5-HT<sub>1A</sub> receptors, GPR55 antagonism and inhibition of adenosine reuptake (Premoli et al., 2019; Rong et al., 2017).

Clinically, CBD is likely most known for its effects on drugresistant seizures in patients with severe early-onset epilepsy. Two randomized double-blind trials, published in the *New England of Medicine*, have shown that the addition of CBD (10–20 mg·kg<sup>-1</sup>) to the conventional antiepileptic regimen resulted in a greater reduction in convulsive-seizure frequency than placebo among patients with the Dravet syndrome (Devinski et al., 2017) or with Lennox-Gastaut syndrome (Devinski et al., 2018), that are two rare and severe form of epilepsy. This and other robust clinical evidence (Lattanzi et al., 2018) has led to the Food and Drug Administration (FDA) approval of CBD (Epidiolex<sup>®</sup>, i.e. a 99% pure oral CBD extract) for the treatment of these rare form of epilepsy in patients of 2 years and older.

In addition to the FDA-approved medicine, CBD products are sold in "smoke" shops, convenience stores and over the Internet (White, 2019). CBD was the 12th highest selling herbal supplement in the U.S. natural channel with total sales of \$7,583,438 in 2017, displaying an extraordinary increase of 303% from the previous year (Table 2). Most CBD sales in 2017 were related to products with nonspecific health indications, but sales of condition-specific CBD formulations, such as those for mood disorders and pain and inflammation, are now emerging (Smith et al., 2018). A concise overview on the experimental and clinical pharmacology of CBD in such conditions is reported below.

#### 7.1 | Experimental pharmacology

CBD was initially shown to be non-euphoric, with the suggestion that it was an inactive compound (Crippa et al., 2018). However, later studies denied this assumption, and several investigations demonstrated that CBD causes multiple effects in the CNS (Silote et al., 2019). CBD exerts behavioural effects in animals predictive of anxiolytic, antipsychotic and antidepressant effects in humans (Rong et al., 2017). CBD has anxiolytic effects-possibly via 5-HT<sub>1A</sub> receptor activation-and facilitates the extinction of contextual fear memory-perhaps via indirect activation of CB1 receptors-in rodents (Lee, Bertoglio, Guimarães, & Stevenson, 2017). Also, CBD modulates several targets involved in the neurobiology of depression, although the involvement of the serotonergic neurotransmission in the antidepressant-like effects of CBD represents the best investigated neurochemical mechanism (Silote et al., 2019). Finally, CBD strongly decreases mesolimbic dopaminergic activity and exerts effects on schizophreniarelated molecular signalling pathways that are distinct from those associated with more traditional anti-psychotic drugs (Renard, Norris, Rushlow, & Laviolette, 2017).

Animal studies have shown that CBD promotes analgesia by activating serotonin 5-HT<sub>1A</sub> and TRPV1 receptors (Lowin, Schneider, & Pongratz, 2019) and exerts analgesic effects in different models of inflammatory and neuropathic pain. For instance, CBD is effective in models of neuropathic pain induced by chemotherapy (Harris, Sufka, Gul, & ElSohly, 2016; Ward et al., 2014), diabetes (Jesus et al., 2019) nerve chronic constriction (Belardo et al., 2019) and injury (De Gregorio, McLaughlin, et al., 2019). Similarly, CBD may exert analgesic and/or anti-inflammatory effects in animal models of arthritis (Hammell et al., 2016), airways inflammation (Vuolo et al., 2019), allergic contact dermatitis (Petrosino et al., 2018), oral wounds (Klein et al., 2018) and colitis (Pagano et al., 2016). In arthritis animal models, CBD provided pain relief and reduced inflammatory via a combination of immunosuppressive and anti-inflammatory effects (Lowin et al., 2019). CBD actions include suppression of both cell-mediated and humoral immunity and involve inhibition of proliferation, maturation and migration of immune cells, antigen presentation and humoral response (Booz, 2011).

#### 7.2 | Clinical efficacy

A very recent systematic review aiming at investigating the possible benefits of CBD in the treatment of mood disorders, specifically schizophrenia, psychotic disorders, anxiety disorders, depression, bipolar disorder and substance-use disorders identified six case reports and seven trials, for a total of 201 subjects (Khoury et al., 2019). Most of the published studies presented several drawbacks. Although some preliminary promising results were published, the authors conclude that "the evidence regarding efficacy and safety of CBD in psychiatry is still scarce" (Khoury et al., 2019). A further analysis of clinical data concluded that the efficacy of CBD in treating anxiety-provoking events like public speaking and in schizophrenia are promising but not fully proven (White, 2019).

Cannabinoids have been clinically evaluated to treat neuropathic pain in multiple sclerosis (Nielsen et al., 2018). Sativex<sup>®</sup> (i.e. a buccal spray containing CBD and THC) has been approved for use in neuropathic pain due to multiple sclerosis in Canada. However, the clinical studies assessing CBD (alone) for pain relief are limited. A recent overview of the clinical data (White, 2019) examined three clinical studies. Two open-label, single-arm trials investigated the effect of a CBD-enriched hemp oil or oral CBD (50 to 150 mg twice a day for 3 weeks) in patients with somatoform psychological and chronic pain (n = 12) and kidney transplant patients (n = 7) respectively. Due to the very poor methodology and sample size, conclusions from these trials cannot be drawn. A third study, a double-blind crossover randomized trial in patients with multiple sclerosis, spinal cord injury, brachial plexus damage and limb amputation (n = 24), found a significant improvement of pain control in the CBD group (White, 2019).

CBD has been preliminarily evaluated also in inflammatory bowel disease (IBD) patients. In Crohn's disease patients (n = 20) who did not respond to standard treatment with steroids, a low dose of CBD displayed no beneficial effects (Naftali et al., 2017). On the other hand, although a CBD-rich botanical extract (50 mg for 12 weeks) did not significantly affect the primary endpoint (i.e. the percentage of patients in remission after treatment) in patients with mild-to-moderate ulcerative colitis (n = 62), a number of indications suggested that this treatment may be beneficial for symptomatic treatment of ulcerative colitis (lrving et al., 2018).

In summary, although some preliminary result of efficacy has been reported, the evidence of CBD in mood disorders and inflammatory/painful conditions is still limited. Larger and higher quality studies are required before firm conclusions can be drawn.

#### 7.3 | Safety of CBD

Historically, CBD has been believed to be extremely safe, with doses up to 600 mg not resulting in psychotic symptoms and chronic use and high doses up to 1,500 mg·day<sup>-1</sup> reportedly well tolerated in humans (Bergamaschi, Queiroz, Zuardi, & Crippa, 2011; Welty, Luebke, & Gidal, 2014). In more recent years, in randomized trials in epileptic patients, adverse events have been shown to occur

in 87.9% and 72.2% of patients treated with CBD and placebo respectively (Lattanzi et al., 2018). CBD adverse events were somnolence, decreased appetite, diarrhoea and increased serum aminotransferases.

CBD can inhibit the CYP2C and CYP3A4 families of isoenzymes and has been shown to lead to a pharmacokinetic interaction with the antiepileptic drug **clobazam**, resulting in elevation of a clobazam long-active metabolite (Iffland & Grotenhermen, 2017; Sekar & Pack, 2019). The interaction accentuates sedation, which can be resolved with a reduction of the clobazam dose (Sekar & Pack, 2019).

Because safety data derive mainly from clinical trials, long-term safety data are needed to fully assess the balance between CBD benefit and harm. The possibility that CBD, like other anticonvulsant drugs, may cause suicidal ideation needs to be monitored (White, 2019).

Finally, it is worthy of note that almost all the safety data derive from the use of Epidiolex<sup>®</sup>, which is a controlled FDA drug. The possibility that non-FDA-approved CBD products freely available in the market may cause adverse events due the variable quality (e. g. contamination, adulteration and THC content in CBD extracts higher than 0.3%) cannot be excluded (White, 2019).

#### 8 | MONK FRUIT

Monk fruit, *Siraitia grosvenorii* (previously classified as *Momordica grosvenorii* and *Thladiantha grosvernorii*), is also known as luo han guo, momordica fruit and longevity fruit. It has a long tradition of use in Chinese medicine and is popular in beverages in its own right; however, it is becoming even more widely used globally as a low-calorie sweetening agent in other beverages and foods. As with Stevia, the market for products which can be used in diabetes and obesity is of increasing importance.

#### 8.1 | Main active constituents

Monk fruit contains cucurbitane **triterpene** glycosides known as mogrosides. These are responsible for the sweet taste and some of the medical applications of monk fruit. Other constituents include flavonoids, polysaccharides and essential oils, some of which show antiasthmatic, antitussive, glucose-lowering, and immunoregulating actions *in vitro* (Engels & Brinkmann, 2017).

#### 8.2 | Traditional use

Monk fruit is used very widely for respiratory conditions in TCM, for bronchitis, tonsillitis, cough, and sore throat. The leaf (luo han ye) is also used to make a tea for these conditions. In the summer, monk fruit is used in beverages to quench thirst and reduce body heat (Engels & Brinkmann, 2017).

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#### 8.3 | Mechanisms of action

Although there are few published mechanistic studies available to support the medical use, a study in mice has demonstrated that mogroside IIIE attenuates acute lung injury partly via regulation of the TLR4/MAPK/NF- $\kappa$ B axis via AMPK activation (Tao et al., 2017). Preclinical studies have also confirmed antioxidant, antimicrobial, and other properties of the plant (see Engels & Brinkmann, 2017). The glucose-lowering effects have been confirmed in several animal studies (see Li, Lin, et al., 2014) and more recently, mogroside IIIE has been investigated for its effects in gestational diabetes in mice, which was attenuated through activating of the AMPK signalling pathway (Zou, Zhang, & Zhang, 2018).

#### 8.4 | Food use and clinical efficacy

In contrast, a great deal of work has been carried out on the chemical analysis, methods of extraction, metabolism and biotransformation of extracts for use as a non-calorific sweetener. Toxicity testing has also been extensively performed in animal studies, as is required in the EU for registration as a "novel food." The mogrosides are anywhere from 200 to 563 times as sweet as sucrose (Engels & Brinkmann, 2017), with mogroside V being the most abundant. Studies have confirmed the structural requirements for a sweet taste (Pawar, Krynitsky, & Rader, 2013), but little information is available as to specific interactions with the sweet taste receptor.

Zhou, Peng, Zhao, Wang, and Li (2017) have reported metabolic differences between type 2 diabetes and normal human microbiota for the transformation of mogroside V, and in healthy and type 2 diabetes model rats (Zhou, Zhang, Li, Wang, & Li, 2018). There are differences in the metabolism and distribution of metabolites, but they are similar in nature to compounds in the fruit and have not given rise to any concerns. In summary, human data of this nutraceutical are very scant and no statement on efficacy can be made.

#### 8.5 | Safety of monk fruit

Many animal studies have shown a lack of toxicity of monk fruit extracts (e.g. Jin et al., 2007; Marone, Borzelleca, Merkel, Heimbach, & Kennepohl, 2008; Matsumoto et al., 2009), and in recent human studies, Tey, Salleh, and Henry (2017a, 2017b) found that a beverage containing monk fruit had no effect on total daily energy intake, blood glucose, and insulin responses in healthy human males. Other human studies (not peer reviewed, submitted as part of regulatory applications and not cited) have not given rise to safety concerns. In the United States, monk fruit is considered GRAS (generally regarded as safe) and in Canada it is classified as a medicinal ingredient and is permitted for use as a flavour enhancer and sweetening agent. In the EU, monk fruit is classified as a "novel food" because it was not used as a food or food ingredient before May 15, 1997. Before it can be placed on the EU market, a safety assessment is required and is underway.

#### 9 | GINSENG-TARGETED EXTRACTS

Ginseng is the probably the most widely consumed herbal nutritional product in the world. The root of P. ginseng is used in medicinal food recipes, particularly soups and stews. It is added to confectionary, food products and drinks, pharmaceutical formulations of the extract are taken for their medicinal properties (Liu et al., 2014). Ginseng on the market is mainly cultivated, but wild harvested ginseng is more highly prized. Ancient, prime specimens of wild-harvested ginseng root have sold at auction for record prices: a 325-year-old plant was sold in 2012 for US\$1.57 million (See Smith, Williamson, Putnam, Farrimond, & Whalley, 2014, for review). Ginseng is used to promote rejuvenation and longevity, for frailty and stress, weakness and fatigue, both mental and physical (Ogawa-Ochiai & Kawasaki, 2019), and as supportive treatment for diabetes, cardiovascular disease and many others (Mancuso & Santangelo, 2017). Recently, a number of systematic reviews have critically evaluated the clinical evidence for and against the effectiveness of ginseng in a number of conditions, such as erectile dysfunction (Borrelli, Colalto, Delfino, Iriti, & Izzo, 2018), chronic fatigue (Arring, Millstine, Marks, & Nail, 2018), hypertension (Lee, Lim, Jun, Choi, & Lee, 2017), diabetes (Gui, Xu, Xu, & Yang, 2016), Alzheimer's disease (Wang et al., 2016) and as an ergogenic aid (Lee, Jung, & Lee, 2016).

Pharmacological and clinical evidence are reconciling traditional properties with modern medical theory, and there is a now wealth of global published research on ginseng and the ginsenosides (Xu, Choi, & Huang, 2017), so much so that it is surprising that there is anything new to find. However, newer indications, particularly in the realm of cognition enhancement are being investigated. Ginseng has a traditional use as an adaptogen and research on modified and standardized extracts of ginseng is being carried out under the auspices of the China Astronaut Research and Training Centre, Beijing, as part of a collaborative programme to support health during space travel (Dang et al., 2014). Although a most exciting topic, these studies are also relevant to more prevalent and serious types of cognitive impairment of varying aetiology and for stressors which require adaptation to everchanging environments.

Human cognitive studies usually use healthy volunteers, but there is a limited number of astronauts available at any time to take part in clinical studies. In addition, it would be unfeasible and almost certainly unethical to use humans to investigate individual compounds and formulations with improved bioavailability, so animal models are almost universally employed.

#### 9.1 | Main active constituents of ginseng

Ginseng contains very many active constituents, from different chemical classes. The most important in cognition are the ginsenosides, of which over 150 are known. They are dammarane-type saponins classified in series Ra to Rs and fall into two major subtypes: those derived from protopanaxadiol (PPD) and those derived from protopanaxatriol (PPT). Similar compounds are found in other species of *Panax*, in varying amounts. Ginseng is usually steamed, otherwise processed, fermented or aged, affecting the ginsenoside pattern of the final botanical product (Mancuso & Santangelo, 2017).

### 9.2 | Evidence for effects of ginsenosides in cognitive impairment

A Cochrane review in 2010 concluded that at the time, there was a lack of convincing evidence to show a cognitive enhancing effect of *P. ginseng* in healthy participants, and no high-quality evidence about its efficacy in patients with dementia (Geng, Dong, et al., 2010). A systematic review of the evidence for ginseng in Alzheimer's disease found only two RCTs which met all inclusion criteria. Although the results suggested an effect in favour of ginseng, both studies were burdened with serious methodological limitations (Lee, Yang, Kim, & Ernst, 2009).

A more general systematic review of all types of clinical studies (Shergis, Zhang, Zhou, & Xue, 2013) retrieved 16 citations evaluating the effects of ginseng on psychomotor performance. Although this was seen to improve in subjects taking *P. ginseng*, the authors concluded that the wide range of methods and products involved made the clinical significance difficult to interpret.

To overcome these obstacles, research is now focussing on the effects of individual ginsenosides, refined, standardized and/or chemically modified extracts. Research on the effects of individual ginsenosides in cognitive disorders has been extensively reviewed (Smith et al., 2014), with some more recent studies outlined below.

#### 10 | GINSENOSIDE RG1

Rg1 is linked to many different targets and pathways, including PPAR, MAPK, toll-like receptors, arachidonic acid metabolism and apoptosis (Mancuso & Santangelo, 2017). In the area of cognition, Rg1 has shown memory-enhancing and neuroprotective effects in models of Alzheimer's disease and amyloidogenesis (Smith et al., 2014). Previous studies mainly used avoidance tasks based on punitive stimuli, such as the water maze, shuttle-box, step-down, and novel object recognition tests, but more recent evidence from reward-directed instrumental conditioning tests (a form of associative learning through which the animal learns from the consequences of its behaviours) suggests that Rg1 can reduce memory impairment due to chronic stress, possibly via regulation of the BDNF/TrkB/Erk pathway in the prefrontal cortex (Wang, Xu, et al., 2017). Rg1 also improved memory impairment due to sleep deprivation in mice, with its ability to reduce oxidative stress in the cortex and hippocampus contributing to the mechanism of action (Lu et al., 2017).

#### 11 | GINSENOSIDE RB1

Rb1 improves spatial memory and reduced memory impairment in animal models (Smith et al., 2014). More recently, it has been found to

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attenuate cognitive dysfunction induced by anaesthetics and surgery, by inhibiting neuroinflammation and oxidative stress (Miao et al., 2017).

#### 12 | GINSENOSIDES RH1 AND RH2

Rh1 and Rh 2 have anti-apoptotic, anti-angiogenic, and effects related to their antioxidant activity. These properties are implicated in cognition, but both have shown direct effects on memory and learning. Rh1 and Rh2 reduced sleep deprivation-induced memory impairment (Lu et al., 2017; Lu et al., 2018a). Rh1 improved cognition in scopolamine-treated mice using object location, novel object recognition, Morris water maze, and passive avoidance tests. It significantly enhanced cholinergic activity and suppressed oxidative stress in the hippocampus, and up-regulated CREB, Egr-1, c-Fos, and c-Jun expression (Lu, Lv, Dong, et al., 2018b). Finally, Rh2 reduces neuroinflammation and oxidative stress, which is of therapeutic interest to counteract neurodegeneration (Hou, Xue, Wang, & Li, 2018).

#### 13 | MODIFIED GINSENG EXTRACTS

Hydrolysis of the ginsenosides—as happens naturally in the gut enhances bioavailability and makes it more predictable. Neuroprotective effects of 20(*S*)-protopanaxatriol (PPT, the aglycone of the Rg and Rh series) were shown in scopolamine-induced cognitive deficits in mice, associated with up-regulation of hippocampal expression of Egr-1, c-Jun, and CREB (Lu et al., 2018c). A hydrolysed, standardized mixture of the total ginsenosides, dammarane sapogenins (DS) has been shown to restore cognitive function in conditions of simulated long-duration spaceflight (Wu et al., 2017) and to exhibit antidepressant-like effects in sleep deprivation and chronic restraint and unpredictable mild stress-induced depressive mice (Jiang et al., 2018).

#### 13.1 | Safety of ginseng

The widespread use and modern studies suggest that ginseng—and its various formulations and constituents—are generally safe. These have been well-reviewed (e.g. Mancuso & Santangelo, 2017). In certain groups of patients, there are contra-indications to the use of ginseng, such as those with hypertension and some CNS disorders. Insomnia and oestrogenic effects have been observed at high doses. Several cases of suspected drug interactions have been reported with, for example, some anti-HIV and anti-cancer drugs. Human studies have found no significant drug interactions with warfarin including in patients who have undergone cardiac valve replacement and those suffering from stroke, and negligible effects on several antihypertensive drugs such as amlodipine and losartan. For further details, see, for exapmle, Ramanathan and Penzak (2017). The new indications discussed in this review are more specialized than those usually cited

and are not suitable for self-medication by those with memory deficits, including astronauts.

#### 14 | NIGELLA SEED

Nigella sativa is known all over the world, cultivated as a popular garden plant and for its seeds, which are known as black cumin, black caraway, black seed along with and many other names. They have been used as both food and medicine since antiquity and are still widely used in Unani medicine, Ayurveda and other Asian traditions. In Islamic medicine, they have been described as "a cure for all diseases except death and ageing"; they are also mentioned in the Bible. Nigella seed has been extensively reviewed regarding its chemistry, biological activity and clinical effects (e.g. Engels & Brinkmann, 2017; Gholamnezhad, Havakhah, et al., 2016). Traditional uses include infertility, fever, bronchitis, asthma, chronic headache, back pain, dysmenorrhea, obesity, diabetes, inflammation and gastrointestinal disorders. The seed oil is used externally for abscesses, nasal ulcers, eczema and swollen joints.

These historical uses do not explain the sudden enormous rise in sales of nigella seeds and there does not seem to be one specific reason for such an increase in popularity, but there has been a rapid rise over the past decade in research publications describing health benefits of nigella seed powder and nigella oil, for improvement in atherogenesis, glucose metabolism, lipid profiles and asthma (Gholamnezhad et al., 2016).

#### 14.1 | Main active constituents

The main active component of nigella seeds and oil is thymoquinone (TQ, 2-methyl-5-isopropyl-1,4-benzoquinone). Fixed oil constituents include linoleic, oleic, dihomolinoleic and eicosadienoic acids; the essential oil contains TQ (30–48%), thymol, thymohydroquinone, and dithymoquinone. The seed contains traces of alkaloids (nigellidine and nigellicine, nigellicimine along with these compounds and especially TQ, are found in most medicinal preparations).

### 14.2 | Evidence for efficacy and mechanisms of action

Despite the wealth of research on nigella seed and the positive results recorded in most human studies, the clinical evidence is disparate and not especially strong for any indication. Engels and Brinkmann (2017) found at least 38 clinical studies reporting effects of nigella seed powder and oil in respiratory disorders (8), obesity (3) and inflammatory disorders such as rheumatoid arthritis, dysmenorrhea, mastalgia, metabolic conditions and many others. There is an uneven quality in the clinical studies performed and specifically the lack of chemical characterization of the extracts used in them (Gholamnezhad et al., 2016). Systematic reviews and meta-analyses have assessed the effect of *N. sativa* preparations on glycaemic control, obesity, hypertension and plasma lipid concentrations (Askari et al., 2019; Maffioli & Parati, 2016; Namazi, Larijani, Ayati, & Abdollahi, 2018; Sahebkar, Beccuti, Simental-Mendía, Nobili, & Bo, 2016). Collectively, results are moderately promising but not compelling. Very recently, nigella preparations have been evaluated to assess their renal-stone-dissolving properties (Ardakani et al., 2019) and in ulcerative colitis patients (Nikkhah-Bodaghi, Darabi, Agah, & Hekmatdoost, 2019). TQ is generally considered to be the most important active constituent and has been extensively studied preclinically, but surprisingly, only one clinical study on TQ could be found, a pilot study on intractable paediatric seizures, where positive results were reported (Akhondian et al., 2011).

TQ exhibits anti-inflammatory and immunomodulatory effects by targeting NF- $\kappa$ B, IL-1 $\beta$ , and TNF- $\alpha$  signalling pathways. It shows anticancer activity in animal models due to altering the expression of signal transducer and activator transcription genes and is being investigated for these and many other effects, and for new formulations with improved bioavailability (Goyal et al., 2017).

#### 14.3 | Safety of nigella seed

As a widely used food item, and with a long tradition of medicinal use, nigella seed does not give rise to any safety concerns. Studies evaluating the safety of the seed, its fixed oil TQ in rats and mice found no significant acute or chronic toxicity. No changes in hepatic enzyme levels or histopathological modifications have been observed in rats treated with high daily doses for up to 12 weeks. The LD<sub>50</sub> of TQ was determined as 870.9 (oral)/104.7 mg·kg<sup>-1</sup> (intraperitoneal) mice, and 794.3/57.5 mg·kg<sup>-1</sup> in rats (Gholamnezhad et al., 2016). There is little doubt that nigella possesses useful properties, but more rigorous studies need to be carried out before it is possible to assess its general use as a nutraceutical. The use of nigella in serious illness (cancer, asthma and epilepsy) is not appropriate for self-medication.

#### 15 | CONCLUSION

Despite the inconsistencies in market definitions, the variable composition and quality of herbal nutraceutical products available and the lack of good clinical evidence in many cases to support their use, there is an upward trajectory in sales, which for certain products is remarkable. As these trends show, consumers continue to be interested in these herbal supplements, and some are indubitably beneficial, or at least harmless; there is also a worrying tendency for "new" substances, such as cannabis extracts, to be promoted widely and used inappropriately in potentially serious illness.

#### 15.1 | Nomenclature of targets and ligands

Key protein targets and ligands in this article are hyperlinked to corresponding entries in http://www.guidetopharmacology.org, the

common portal for data from the IUPHAR/BPS Guide to PHARMA-COLOGY (Harding et al., 2018), and are permanently archived in the Concise Guide to PHARMACOLOGY 2019/20 (Alexander et al., 2019).

#### CONFLICT OF INTEREST

The authors declare no conflicts of interest.

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